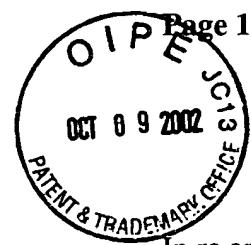


10-19-02

GP 2155

ATTORNEY DOCKET NO.: 22022.0004  
SERIAL NO. 09/427,787

Page 1 of 2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Rajan *et al.*

Serial No.: 09/427,787

Filed: October 27, 1999

For: **"SYSTEM AND METHOD FOR DISTRIBUTED  
STORAGE AND RETRIEVAL OF PERSONAL  
INFORMATION"**

) Group Art Unit: 2155

) Examiner: Nguyen, T.

) Confirmation No. 3759

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
PART 5 OF 5

Commissioner for Patents  
Washington, D.C. 20231

NEEDLE & ROSENBERG, P.C.  
Customer No. 23859

October 9, 2002

Sir:

Pursuant to the requirements of 37 C.F.R. § 1.56, submitted herewith on the accompanying modified form PTO-1449 is a listing of documents known to the Applicants and/or their attorneys. Copies of these documents are enclosed. This submission is the fifth part of a five (5)-part Supplemental Information Disclosure Statement (IDS). The first part of this submission was submitted today electronically as EFS ID:18722. A copy of the confirmation from the U.S. PTO acknowledging receipt of part one is attached hereto. The remaining parts were also submitted electronically today.

Applicants submit this Supplemental IDS after issuance of the first office action but prior to a final office action or notice of allowance pursuant to 37 C.F.R. § 1.97(c)(2). The fee set forth in 37 C.F.R. § 1.17(p) was submitted with the first part of this Supplemental IDS.

Consideration of the cited documents and making the same of record in the prosecution of the above-captioned application are respectfully requested.

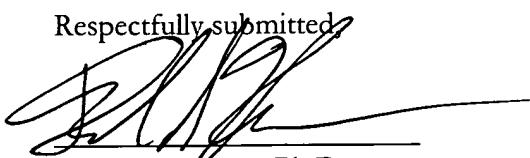
RECEIVED  
OCT 11 2002  
Technology Center 2100

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
APPLICATION SERIAL NO.: 09/427,787  
ATTORNEY DOCKET NO.: 22022.0004  
Page 2 of 2**

**RECEIVED,  
OCT 11 2002  
Technology Center 2100.**

Applicants do not believe that any further fee is due beyond the one submitted with part one (1) of this Supplemental IDS. However, the Commissioner is hereby authorized to charge any additional fees which may be required, or to credit any excess, to Deposit Account No. 14-0629.

Respectfully submitted,



David S. Kerven, Ph.D.  
Registration No. 43,712

NEEDLE & ROSENBERG, P.C.

Customer No. 23859

Phone: 404-688-0770

Fax: 404-688-9880

e-mail: dkerven@needlerosenberg.com

**CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10**

I hereby certify that this correspondence and any items indicated as attached or included are being deposited with the United States Postal Service as Express Mail, Label No. EL938537337US in an envelope addressed to: Commissioner for Patents, Washington, D.C., 20231, on the date shown below.

Chris Czajka

Date

10/9/02

Acknowledgment Receipt:

SUBMISSION TYPE: Information Disclosure Statement

APPLICATION NUMBER: 09427787

FIRST NAMED INVENTOR: Palaniswamy Rajan

TITLE OF INVENTION: SYSTEM AND METHOD FOR DISTRIBUTED STORAGE OF

PERSONAL INFORMATION

ATTORNEY DOCKET NUMBER: 22022.0004

FILE LISTING:

transmittal	tran220224U1V4.xml	6304 Bytes
us-information-disclosure-statement	220224U1V4ids.xml	16659 Bytes
us-information-disclosure-statement	us-ids.dtd	11983 Bytes
us-information-disclosure-statement	e-idssta.xsl	17508 Bytes
fee-transmittal	220224U1V4fee.xml	2194 Bytes
fee-transmittal	u-feetra.dtd	37694 Bytes
fee-transmittal	e-feetra.xsl	15388 Bytes

EFS ID: 18722

FILE SIZE: 29969 Bytes

TIMESTAMP: Wed Oct 09 09:27:28 EDT 2002

MESSAGE DIGEST: GpWKwnxvulFqSm8xEf4e7g==

DIGITAL CERTIFICATE HOLDER NAME: cn=David Scott Kerven, ou=Registered Attorneys

UPLOAD STATUS: You have successfully uploaded your submission to USPTO



RECEIVED  
OCT 11 2002

Technology Center 2100



2102

# Electronic Filing System (EFS) Data Electronic Patent Application Submission USPTO Use Only

2155 HR

EFS ID: 18727  
Application ID: 09427787  
Title of Invention: SYSTEM AND METHOD FOR  
DISTRIBUTED STORAGE OF  
PERSONAL INFORMATION  
First Named Inventor: Palaniswamy Rajan  
Domestic/Foreign Application: Domestic Application  
Filing Date: 1999-10-27  
Effective Receipt Date: 2002-10-09  
Submission Type: Information Disclosure Statement  
Filing Type: null  
Confirmation Number: 3759  
Attorney Docket Number: 22022.0004  
Digital Certificate Holder: cn=David Scott Kerven, ou=Registered Attorneys, ou=Patent and  
Trademark Office, ou=Department of Commerce, o=U.S.  
Government, c=US  
Certificate Message Digest: KcoiVQsLkjPHSEfPCAz42A==

RECEIVED

OCT 15 2002

Technology Center 2100





# TRANSMITTAL FORM

Electronic Version 1.0.3

Application Number: 09427787

Stylesheet Version: 1.0

Attorney Docket Number: 22022.0004

Submission Type: Information  
Disclosure Statement

## SYSTEM AND METHOD FOR DISTRIBUTED STORAGE OF PERSONAL INFORMATION

First Named Inventor: Mr. Palaniswamy Rajan

**RECEIVED**

OCT 15 2002

Technology Center 2100

SUBMITTED BY

Name: Dr. David S. Kerven

Registration Number: 43,712

Electronic Signature Mark: dsk Date Signed: 20021009

*I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.*

*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

Attached Files:

us-information-disclosure-statement

220224U1V5ids.xml

**Comments:**

Part 2 of 5. Pursuant to the requirements of 37 CFR 1.56, submitted herewith is a listing of documents known to the Applicants and/or their attorneys. Applicants submit this Supplemental IDS after the mailing of the first office action but prior to a final office action or notice of allowance pursuant to 37 CFR 1.97(c)(2). The fee of 180 dollars as set forth in 37 CFR 1.17(p) accompanied part 1 of this Supplemental IDS. Consideration of the cited documents and making the same of record in the above captioned application are respectfully requested. The amount submitted with part 1 of this Supplemental IDS is believed to be correct; however, the Commissioner is hereby authroized to charge any additional fees which may be required, or to credit any excess, to Deposit Account No. 14-0629.



2155  
HJ3

# Electronic Filing System (EFS) Data

## Electronic Patent Application Submission

USPTO Use Only

**RECEIVED**

OCT 11 2002

Technology Center 2100

EFS ID: 18731  
Application ID: 09427787  
Title of Invention: SYSTEM AND METHOD FOR  
DISTRIBUTED STORAGE OF  
PERSONAL INFORMATION  
First Named Inventor: Palaniswamy Rajan  
Domestic/Foreign Application: Domestic Application  
Filing Date: 1999-10-27  
Effective Receipt Date: 2002-10-09   
Submission Type: Information Disclosure Statement  
Filing Type: null  
Confirmation Number: 3759  
Attorney Docket Number: 22022.0004  
Digital Certificate Holder: cn=David Scott Kerven, ou=Registered Attorneys, ou=Patent and  
Trademark Office, ou=Department of Commerce, o=U.S.  
Government, c=US  
Certificate Message Digest: gcdVQC5S277cG2KGX/gdLg==



# TRANSMITTAL FORM

Electronic Version 1.0.3

Application Number: 09427787

Stylesheet Version: 1.0

Attorney Docket Number: 22022.0004

Submission Type: Information Disclosure Statement

**RECEIVED**

OCT 11 2002

Technology Center 2100

## SYSTEM AND METHOD FOR DISTRIBUTED STORAGE OF PERSONAL INFORMATION

First Named Inventor: Mr. Palaniswamy Rajan

**SUBMITTED BY**

Name: Dr. David S. Kerven

Registration Number: 43,712

Electronic Signature Mark: dsk Date Signed: 20021009

*I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.*

*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

Attached Files:

us-information-disclosure-statement

220224U1V6ids.xml

**Comments:**

Part 3 of 5. Pursuant to the requirements of 37 CFR 1.56, submitted herewith is a listing of documents known to the Applicants and/or their attorneys. Applicants submit this Supplemental IDS after the mailing of the first office action but prior to a final office action or notice of allowance pursuant to 37 CFR 1.97(c)(2). The fee of 180 dollars as set forth in 37 CFR 1.17(p) accompanied part 1 of this Supplemental IDS. Consideration of the cited documents and making the same of record in the above captioned application are respectfully requested. The amount submitted with part 1 of this Supplemental IDS is believed to be correct; however, the Commissioner is hereby authroized to charge any additional fees which may be required, or to credit any excess, to Deposit Account No. 14-0629.